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12/21/01  
J1000 U.S. PTO

DOCKET NO. : ABTT-0254/B000701

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

J1000 U.S. PTO  
10/037397  
12/21/01

In Re Application of:

Le Tang, Xiaoming Feng, David  
Lubkeman, Anil Pahwa, Jiuping Pan,  
Lan Trinh, Zhenyuan Wang

Serial No.: Not yet assigned

Group Art Unit: Not yet assigned

Filing Date: Herewith

Examiner: Not yet assigned

For: METHOD FOR EVALUATION OF ENERGY UTILITIES

EXPRESS MAIL LABEL NO: EL926759623US

DATE OF DEPOSIT: December 21, 2001

EL926759623US

Box ☒ Patent Application  
☐ Sequence ☐ Design

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

PATENT APPLICATION TRANSMITTAL LETTER

Transmitted herewith for filing, please find

☒ A Utility Patent Application under 37 C.F.R. 1.53(b).

It is a continuing application, as follows:

☐ continuation ☐ divisional ☐ continuation-in-part of prior application number  
\_\_\_\_/\_\_\_\_.

☐ A Design Patent Application (submitted in duplicate).

☒ Request for Nonpublication is attached.

Including the following:

- ☒ New or Revised Specification, including pages 1 to 27 containing:
- ☒ Specification
  - ☒ Claims
  - ☒ Abstract
  - ☐ Substitute Specification, including Claims and Abstract.
- ☐ The present application is a continuation application of Application No. \_\_\_\_\_ filed \_\_\_\_\_. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Since none of those amendments incorporate new matter into the parent application, the present revised Specification also does not include new matter.
- ☐ The present application is a continuation application of Application No. \_\_\_\_\_ filed \_\_\_\_\_, which in turn is a continuation-in-part of Application No. \_\_\_\_\_ filed \_\_\_\_\_. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Although the amendments in the parent C-I-P application may have incorporated new matter, since those are the only revisions included in the present application, the present application includes no new matter in relation to the parent application.
- ☐ A copy of earlier application Serial No. \_\_\_\_\_ Filed \_\_\_\_\_, including Specification, Claims and Abstract (pages 1 - @@), to which no new matter has been added TOGETHER WITH a copy of the executed oath or declaration for such earlier application and all drawings and appendices. Such earlier application is hereby incorporated into the present application by reference.
- ☐ Please enter the following amendment to the Specification under the Cross-Reference to Related Applications section (or create such a section) : "This Application:
- ☐ is a continuation of ☐ is a divisional of ☐ claims benefit of U.S. provisional Application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.

- ☐ Signed Statement attached deleting inventor(s) named in the prior application.
- ☐ A Preliminary Amendment.
- ☒ 8 Sheets of Formal Drawings.
- ☐ Drawing view to publish: \_\_\_\_\_
- ☐ Petition to Accept Photographic Drawings.
- ☐ Petition Fee
- ☒ An ☐ Executed ☒ Unexecuted Declaration or Oath and Power of Attorney.
- ☐ An Associate Power of Attorney.
- ☐ An ☐ Executed ☐ Copy of Executed Assignment of the Invention to \_\_\_\_\_
- ☐ A Recordation Form Cover Sheet.
- ☐ Recordation Fee - \$40.00.
- ☐ The prior application is assigned of record to ABB Power T&D Company Inc.
- ☐ Priority is claimed under 35 U.S.C. § 119 of Patent Application No. \_\_\_\_\_  
filed \_\_\_\_\_ in \_\_\_\_\_ (country).
- ☐ A Certified Copy of each of the above applications for which priority is claimed:
- ☐ is enclosed.
- ☐ has been filed in prior application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.
- ☐ Please enter the following amendment to the first sentence of the specification (or create such a sentence): "This application claims benefit of international application No. \_\_\_\_\_, which was published under PCT Article 21(2) in English."

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☐ Other as follows: \_\_\_\_\_.

**FEE CALCULATION:**

☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

			SMALL ENTITY		NOT SMALL ENTITY	
			RATE	FEE	RATE	FEE
DESIGN APPLICATION			\$165.00	\$	\$330.00	\$
UTILITY APPLICATIONS BASE FEE			\$370.00	\$	\$740.00	\$740.00
UTILITY APPLICATION; ALL CLAIMS CALCULATED AFTER ENTRY OF ALL AMENDMENTS						
	No. Filed	No. Extra				
TOTAL CLAIMS	18- 20 =	0	\$9 each	\$	\$18 each	\$0
INDEP. CLAIMS	2- 3 =	0	\$42 each	\$	\$84 each	\$0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			\$140	\$	\$280	\$0
ADDITIONAL FILING FEE				\$		\$0
TOTAL FILING FEE DUE				\$		\$740.00

☒ A Check is enclosed in the amount of \$ 740.00.

☒ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.

☐ The foregoing amount due.

☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.

☒ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).

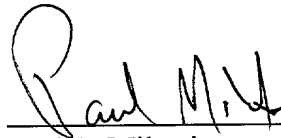
☒ The Commissioner is hereby requested to grant an extension of time for the

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appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

**SHOULD ANY DEFICIENCIES APPEAR** with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: December 21, 2001



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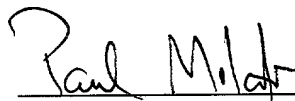
Assistant Commissioner for Patents  
Washington, DC 20231

**REQUEST FOR NONPUBLICATION**  
**UNDER 37 C.F.R. 1.213(a)**

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that request publication at eighteen months after filing

I hereby request that the attached application not be published under 37 C.F.R. 1.213 (a). This request is signed in compliance with 37 C.F.R. 1.33(b) and is being submitted with the application **upon filing**.

Date: December 21, 2001



Paul B. Milcetic

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